

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF CALIFORNIA

EUGENE RAYFORD,)	Civil No. 07cv1684-W (AJB)
)	
Plaintiff,)	REPORT AND RECOMMENDATION
v.)	GRANTING IN PART AND DENYING
G. J. GIURBINO, Warden; et. al,)	IN PART DEFENDANTS' MOTION TO
)	DISMISS
Defendants.)	[Doc. No. 30.]
_____)	

Plaintiff, Eugene Rayford, a state prisoner proceeding *pro se* and *in forma pauperis*, filed a First Amended Complaint pursuant to 42 U.S.C. § 1983. Defendants Khatri, Esperanza, Houston and Valenzuela¹ filed a motion to dismiss Plaintiff's First Amended Complaint for failure to exhaust administrative remedies and pursuant to Federal Rule of Civil Procedure 12(b)(6). Plaintiff filed an opposition to Defendants' motion on September 12, 2008. Defendants filed a reply on September 30, 2008. After a thorough review of Defendants' motion, Plaintiff's opposition, Defendants' reply, all supporting documents, and the applicable law, this Court **RECOMMENDS** that Defendants' motion to dismiss be **GRANTED in part and DENIED in part**.

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¹The First Amended Complaint presents claims against Warden Giurbino, and Officers Martinez, Stokes and Stiens; however, they have not yet been served with the complaint and are not subject to the motion to dismiss.

BACKGROUND

Plaintiff complains that on October 11, 2004, he submitted a sick call slip to CDC's Health care staff. (First Am. Compl. ¶ 1 of Factual Allegations.) He complained of chest and throat pains, a burning pain caused by a rash on his right ankle and pain on his left testicle. (Id.) Since he did not receive a response to the sick call slip, on October 28, 2004, he filed a CDC Inmate Grievance Appeal (602 Form) that he failed to receive treatment and the pain in his throat was getting worse. (Id. ¶ 2.) On November 3, 2003, Plaintiff alleges that he received a 602 informal response stating that his 602 was being forwarded to A-yard medical for an informal response. (Id. ¶ 3.) Not having heard a response, on November 16, 2004, Plaintiff forwarded a CDC 6A-22 and attached his 602 route slip receipt to the A yard medical but it went unanswered. (Id. ¶ 4.) On November 8, 2004, Plaintiff yelled at the MTA and questioned why he was not receiving medical attention. (Id. ¶ 5.) The MTA told him that the sick call slips were probably canceled again. (Id.) The MTA stated that the doctors are indolent and do not respond to the sick call slips. (Id.) As a result, the sick call slips pile up and delay treatment for ad-seg inmates. (Id.)

In early November 2004, Plaintiff claims that his throat pain worsened which required him to go on a semi-liquid diet by trading his breakfast and dinner for milk and soft foods. (Id. ¶ 6.) His throat pain was so severe that he thought he had some kind of throat cancer. (Id.) Plaintiff contends that he filed numerous sick call slips complaining of being in serious pain. (Id. ¶ 8.)

On November 18, 2004, Plaintiff took his complaints to Sergeant Martinez. (Id. ¶ 11.) Martinez telephoned A-yard medical but nobody answered. (Id.) Martinez said that Associate Warden Houston would be arriving soon and that he should place his complaints with her. (Id.) On the same day, Plaintiff yelled out to Defendant Associate Warden Houston about his complaints of severe throat pain and that his medical request slips and 602s were going unanswered. (Id. ¶ 13.) Defendant Houston said "I'll see what I can do when I walk by the medical clinic." (Id.) Plaintiff claims that Defendant Houston failed to respond by ordering one of her subordinates to escort Plaintiff to the medical clinic and he was left to endure another 30 days of severe pain. (Id. ¶ 14.) Shortly after speaking with Defendant Houston, Plaintiff called Defendant Stokes over to address his medical complaints. (Id. ¶ 17.) Defendants Stokes and Valenzuela approached Plaintiff. (Id.) Stokes commented that he saw

1 Plaintiff talking to Houston and that she would handle it. (Id.) Valenzuela stated that they would talk to
 2 the nurse for Plaintiff when they passed the medical clinic. (Id.)

3 On November 19, 2004, an A-facility MTA informed Plaintiff that the A-facility doctors
 4 canceled all A-facility ad-seg medical slips and gave him another sick call slip and told Plaintiff to try
 5 again. (Id. ¶ 9.) He filled out another sick call slip and resubmitted it to the MTA's office. (Id. ¶ 10)

6 Plaintiff further alleges that Defendants Dr. Khatri and Dr. Esperanza were the medical
 7 physicians assigned to the ad-seg A-yard facility medical clinic between October 11 - February 30,
 8 2005. (Id. ¶ 20.) Defendants Khatri and Esperanza canceled ad-seg medical slips allowing them to pile
 9 up causing a two month delay which denied Plaintiff medical attention and caused Plaintiff's condition
 10 to worsen. (Id. ¶ 21.) Plaintiff claims he filed four serious medical slips and a 602 for his medical
 11 condition that went unanswered. (Id. ¶ 22, 23.) Consequently, his throat condition worsened and he
 12 endured an additional two months of severe pain before he was treated. (Id.) He filed another 602 dated
 13 December 7, 2004 which was addressed by the CDC. (Id. ¶ 23.) On December 19, 2004, Plaintiff was
 14 diagnosed with laryngitis, allergies and a marble size assis (sic) on his left testicle. (Id. ¶ 99.) He was
 15 prescribed medication.² (Id. ¶ 100.)

16 On January 4, 2005, Defendants responded to an "old" November medical slip and examined
 17 Plaintiff in "A-yards 5-building ad-seg" or a holding cell which he claims is an illegal practice in
 18 California. (Id. ¶ 24.) Plaintiff claims that the holding cell was a small cage which was filthy contain-
 19 ing inmates' hair, dust and dirt and was not sterile. (Id.) On February 22, 2005, he had another
 20 examination in the same "filthy, bacteria, contaminated, hazardous (sic) environment" which disregarded
 21 Plaintiff's health especially since he was being treated for a bacterial infection. (Id. ¶¶ 25-26.)

22 On November 23, 2004, Plaintiff alleges that he discovered that the bottom of his mattress was
 23 infested with mold. (Id. ¶ 28.) He complained to building staff about the mold on his mattress but they
 24 denied him a new mattress and a move to another cell. (Id.)

25 On December 11, 2004, Plaintiff complained and showed his mattress to Defendant Martinez but
 26 he refused the request for a new mattress. (Id. ¶ 37.) On January 7, 2005, Plaintiff and another inmate,
 27

28 ²According to his opposition, Plaintiff states that he was given amoxicillin for the laryngitis, aspirin
 enteric for pain, triamcinolone for his severe rash and chlorphenamine for his allergies. (P's Opp. at 6.)

1 Edward McWilliams, who also discovered mold under his mattress, showed their mattresses to MTA
2 Frada who complained to 3rd watch officials about what she saw but the officers did nothing. (Id. ¶ 38.)
3 On January 13, 2005, Plaintiff showed his molded mattress to psychologist Keenan and Ms. Swift who
4 both vigorously complained and argued with the officers and the floor sergeant to get Plaintiff a new
5 mattress but they were rejected. (Id. ¶ 39.)

6 On January 19, 2005, inmate McWilliams, Keenan, and Swift told Defendants Houston, Stokes
7 and the rest of the committee about the mold on the mattresses. (Id. ¶ 40.) Defendant Houston ordered
8 Martinez to give Plaintiff and McWilliams new mattresses today and not tomorrow. (Id.) However,
9 Defendant Martinez refused to comply with Defendant Houston's order and ordered his officers not to
10 get Plaintiff anything such as 602's and if Plaintiff submitted a 602, the staff was to rip it up. (Id.) On
11 January 30, 2005, Plaintiff showed MTA Banks that the officers refused to give him a new mattress
12 even when ordered by the associate warden. (Id. ¶ 41.) MTA Banks argued with the officers but the
13 officers lied and said they would give him one when the mattress came in. (Id.) On February 1, 2005,
14 Plaintiff informed Keenan and Swift about Martinez and the situation and they got into a heated
15 argument with numerous officers about the issue. (Id. ¶ 42.) Keenan then placed a call to Defendant
16 Associate Warden Houston and told her that Plaintiff never received the new mattress which Houston
17 ordered her subordinates to obtain. (Id.) Defendant Houston then ordered Sergeant to call T. Rubin to
18 get a new mattress for Plaintiff. (Id.) On that day, Plaintiff received the new mattress. (Id. ¶ 43.)
19 Plaintiff claims he suffered deplorable conditions for 71 days³ from November 23, 2004 to February 1,
20 2005 having to eat his food with mold spores in the air and that the smell of the mold caused him further
21 injuries. (Id. ¶ 44.)

22 Based on these facts, Plaintiff alleges deliberate indifference to serious medical needs by prison
23 officials for their delay and failure to intervene in providing medical treatment for his condition and
24 being treated in a dirty holding cell instead of a medical examination room. He also contends that he
25 was subject to inhumane living conditions by prison officials failing to replace Plaintiff's mold infested
26 mattress for 71 days.

27
28 ³Plaintiff claims that he suffered 63 days in the inhumane condition; however, according to the Court's calculation of the dates Plaintiff claims he was living with a mold infested mattress, 71 days had passed.

DISCUSSION

Defendants move to dismiss the First Amended Complaint pursuant to Federal Rule of Civil Procedure 12(b) and 12(b)(6). Defendants contend that: (1) Plaintiff has failed to properly exhaust his administrative remedies; and (2) Plaintiff's First Amended Complaint fails to state a claim.

A. Exhaustion of Administrative Remedies

The Prison Litigation Reform Act of 1995 ("PLRA") amended 42 U.S.C. § 1997e(a) to provide that "no action shall be brought with respect to prison conditions under § 1983, or any other Federal law, by a prisoner confined in any jail, prison, or other correctional facility until such administrative remedies as are available are exhausted." 42 U.S.C. § 1997e(a). Under the PLRA, exhaustion is no longer within the discretion of the district courts but is mandatory. Woodford v. Ngo, 126 S. Ct. 2378, 2382 (2006). "Prisoners must now exhaust all available remedies, not just those that meet federal standards." Id. "Proper exhaustion demands compliance with an agency's deadlines and other critical procedural rules." Id. at 2386. However, once no remedy remains "available," a prisoner need not further pursue the grievance. Brown v. Valoff, 422 F.3d 926, 935 (9th Cir. 2005). If an inmate's appeal is granted at a lower level, the inmate need not appeal because no remedy remains "available." See id.; Brady v. Attygala, 196 F. Supp. 2d 1016, 1022-23 (C.D. Cal. 2002).

A plaintiff who fails to exhaust available administrative remedies prior to filing suit is subject to dismissal on an "unenumerated Rule 12(b) motion, rather than a summary judgment motion." Wyatt v. Terhune, 315 F.3d 1108, 1119 (9th Cir. 2003). Nonexhaustion under § 1997e(a) is an affirmative defense and defendants have the burden of raising and proving the absence of exhaustion. Jones v. Bock, 127 S. Ct. 910, 919 (2007); Brown, 422 F.3d at 936 ("it is of central importance that § 1997e(a) is an affirmative defense").

An inmate is required to use the administrative process that the state provides in order to exhaust his administrative remedies. See Butler v. Adams, 397 F.3d 1181, 1183 (9th Cir. 2005). The administrative review process of the California Department of Corrections consists of a grievance system for prisoner complaints, in which "any inmate or parolee under the department's jurisdiction may appeal any departmental decision, action, condition or policy which they can reasonably demonstrate as having an adverse effect upon their welfare." Cal. Code Regs. tit. 15, § 3084.1(a). Four levels of appeal exist:

1 (1) informal resolution, (2) formal written appeal via a Form 602 grievance, (3) second level appeal to
 2 the institution head, and (4) third level appeal to the Director of the California Department of Correc-
 3 tions. At each level, the inmate must submit the appeal within 15 working days of the event or decision
 4 being appealed, or of receiving an unacceptable lower level appeal decision. Id. at 3084.6(c).

5 The exhaustion requirement does not require Plaintiff to name all defendants in the grievance as
 6 long as the prison's policies do not require such detail. Jones, 127 S. Ct. at 922. (“[E]xhaustion is not
 7 per se inadequate simply because an individual later sued was not named in the grievances.”). Under
 8 the California regulations, a prisoner “shall use a CDC Form 602, Inmate/Parolee Appeal Form to
 9 describe the problem and action requested.” Cal. Code Regs. tit. 15, § 3084.2(a). CDC 602 does not
 10 require a prisoner to name or identify specific prison officials. Lewis v. Mitchell, 416 F. Supp. 2d 935,
 11 941-42 (S. D. Cal. 2005).

12 Further, an inmate need not present prison officials with every possible theory of recovery or
 13 relevant facts in his CDC 602 appeal. Gomez v. Winslow, 177 F. Supp. 2d 977, 983 (N.D. Cal. 2001).
 14 The court explained that the prisoner need only “put [the CDC] on notice of facts it should discover
 15 during its investigation of the claim.” Id.; Irvin v. Zamora, 161 F. Supp. 2d 1125, 1134-35 (S.D. Cal.
 16 2001) (purposes of the exhaustion requirements are fulfilled as long as the plaintiff presents “the
 17 relevant factual circumstances giving rise to a potential claim” giving the prison notice of the potential
 18 claims).

19 In the First Amended Complaint, Plaintiff alleges a claim of deliberate indifference to serious
 20 medical needs when Defendants Houston and Valenzuela delayed medical treatment by failing to
 21 intervene on behalf of Plaintiff, when Defendants Khatri and Esperanza delayed Plaintiff's medical
 22 treatment by refusing to respond to his ad-seg medical request slips for 2 months, and when Defendants
 23 Khatri and Esperanza examined him in a small holding cell that was filthy and not sterile. Plaintiff also
 24 brings a claim that Defendants Houston and Valenzuela denied him humane conditions of confinement
 25 in violation of his Eighth Amendment right against cruel and unusual punishment when they refused to
 26 replace Plaintiff's mold infested mattress or relocate him to another cell.

27 In the First Amended Complaint, Plaintiff includes the CDC 602 Form with Log No. A-05-0184.
 28 (First Am. Compl. at 45.) That appeal was granted at the First Appeal Response Level on March 21,

2005. (Id. at 56.) At the end of section A (“Describe Problem”) of the CDC 602 form, it states “If you need more space, attach one additional sheet.” (Id. at 45.) In the space provided are the words “see attachment.” (Id.) Attached to the CDC 602 form is one additional sheet with extremely small print that describes in detail the facts relevant to the issues in this case and exhausts all claims. (Id. at 47.) However, in Defendants’ motion to dismiss, it is interesting to note that at the end of Section A of Defendants’ version of the 602 Form there are no hand printed words that say “see attachment.”⁴ (Ds’ Mot. to Dismiss, Degeus Decl, Ex. A.) Defendants present another 602 Form, that was received by the Inmate Appeals but has no log number, which has attached the one page that includes all the facts that support the claims in this case. (Id.) Defendants’ exhibits suggest that the one page of facts that detail the facts in this case is not part of the 602 Form with Log No. A-05-184 but another 602 Form that appears to have not been accepted for review.

There is a discrepancy with the CDC 602 Form of Log No. A-05-1984 that was not addressed by either party. Defendants have the burden to demonstrate that Plaintiff has not exhausted his claims. See Jones, 127 S. Ct. at 919; Brown, 422 F.3d at 936 (“it is of central importance that § 1997e(a) is an affirmative defense”). Since Defendants have failed to bear its burden to show that Plaintiff has not exhausted his claims, the Court RECOMMENDS that Defendants’ motion to dismiss for failure to exhaust administrative remedies be DENIED.

B. Failure to State a Claim Pursuant to Federal Rule of Civil Procedure 12(b)(6)

A motion to dismiss for failure to state a claim pursuant to Federal Rule of Civil Procedure 12(b)(6) tests the legal sufficiency of the claims in the complaint. Navarro v. Block, 250 F.3d 729, 732 (9th Cir. 2001). A claim can only be dismissed if it “appears beyond doubt that the plaintiff can prove no set of facts in support of his claim that would entitle him to relief.” Conley v. Gibson, 355 U.S. 41, 45-46 (1957); Hishon v. King & Spaulding, 467 U.S. 69, 73 (1984). The court must accept as true all material allegations in the complaint, as well as reasonable inferences to be drawn from them, and must construe the complaint in the light most favorable to the plaintiff. N.L. Indus., Inc. v. Kaplan, 792 F.2d 896, 898 (9th Cir. 1986); Parks School of Bus., Inc. v. Symington, 51 F.3d 1480, 1484 (9th Cir. 1995).

⁴The Court also notes that Defendants have not provided a complete of the CDC 602 Form. Page 2 of the 602 Form is not included.

Where a plaintiff appears in propria persona in a civil rights case, the court must construe the pleadings liberally and afford the plaintiff any benefit of the doubt. Karim-Panahi v. Los Angeles Police Dept., 839 F.2d 621, 623 (9th Cir. 1988). The rule of liberal construction is “particularly important in civil rights cases.” Ferdik v. Bonzelet, 963 F.2d 1258, 1261 (9th Cir. 1992). In giving liberal interpretation to a pro se civil rights complaint, however, the court may not “supply essential elements of the claim that were not initially pled.” Ivey v. Bd. of Regents of the Univ. of Alaska, 673 F.2d 266, 268 (9th Cir. 1982). “Vague and conclusory allegations of official participation in civil rights violations are not sufficient to withstand a motion to dismiss.” Id.

1. Eleventh Amendment Immunity

Defendants seek dismissal of Plaintiff’s damages claims to the extent they are based on acts taken in their official capacities. Plaintiff states that he is suing Defendants in both their official and individual capacities for monetary damages. (First Am. Compl. ¶¶ 5-15 of Factual Allegations.)

While the Eleventh Amendment bars a prisoner’s section 1983 claims against state actors sued in their official capacities, Will v. Michigan, 491 U.S. 58, 66 (1989), it does not bar damage actions against state officials in their personal or individual capacities. Hafer v. Melo, 502 U.S. 21, 31 (1991); Pena v. Gardner, 976 F.2d 469, 472-73 (9th Cir. 1992). When a state actor is alleged to have violated both federal and state law and is sued for damages under section 1983 in his individual or personal capacity, there is no Eleventh Amendment bar, even if state law provides for indemnification. Ashker v. California Dep’t of Corrections, 112 F.3d 392, 395 (9th Cir. 1997). The Eleventh Amendment prohibits actions for damages against an “official’s office,” that is, actions that are in reality suits against the state itself. Stivers v. Pierce, 71 F.3d 732, 749 (9th Cir. 1995).

In the First Amended Complaint, Plaintiff is suing Defendants in both their official and individual capacities for money damages. The Court RECOMMENDS that Defendants’ motion to dismiss on Eleventh Amendment grounds be GRANTED to the extent that Plaintiff seeks damages against Defendants in their official capacity and that such claims be DISMISSED with prejudice. However, the Eleventh Amendment imposes no bar to Plaintiff’s damages action against Defendants in their personal capacities. The Court will now consider Defendants’ motion to dismiss on those claims.

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1 **2. Section 1983 Claims**

2 **a. Eighth Amendment Claim for Deliberate Indifference**
3 **to Serious Medical Needs**

4 Plaintiff alleges that Defendants Houston and Valenzuela delayed medical treatment by failing to
5 intervene on behalf of Plaintiff. He also claims that Defendants Khatri and Esperanza delayed his
6 medical treatment by failing to respond to his medical request slips in a timely manner and that they
7 examined him in a dirty holding cell rather than a sterile medical examination room which disregarded
8 his health especially since he would later be treated for a bacterial infection.

9 “Deliberate indifference to serious medical needs of prisoners constitutes the “unnecessary and
10 wanton infliction of pain,” proscribed by the Eighth Amendment.” Estelle v. Gamble, 429 U.S. 97, 104
11 (1976) (citation omitted). This principle “establish[es] the government’s obligation to provide medical
12 care for those whom it is punishing by incarceration.” Id. at 103. The Supreme Court has noted that
13 “[a]n inmate must rely on prison authorities to treat his medical needs; if the authorities fail to do so,
14 those needs will not be met.” Id.; see also West v. Atkins, 487 U.S. 42, 54-55 (1988).

15 Thus, to establish a violation of the Eighth Amendment, Plaintiff must plead sufficient acts or
16 omissions to show that defendants were deliberately indifferent to his serious medical needs. See
17 Estelle, 429 U.S. at 106; Hunt v. Dental Dep’t, 865 F.2d 198, 200 (9th Cir. 1989). Deliberate indiffer-
18 ence to serious medical needs occurs when prison officials “deny, delay, or intentionally interfere with
19 medical treatment.” Hunt, 865 F.2d at 201 (quoting Hutchinson v. United States, 838 F.2d 390, 394 (9th
20 Cir. 1984)). A section 1983 plaintiff must allege facts that show the seriousness of his medical need as
21 well as “the nature of the defendant’s response to that need.” McGuckin v. Smith, 974 F.2d 1050, 1059
22 (9th Cir. 1992) overruled on other grounds by WMX Techs., Inc. v. Miller, 104 F.3d 1133, 1136 (9th
23 Cir. 1997) (en banc). The indifference to medical needs must be substantial; inadequate treatment due
24 to malpractice, or even negligence, does not amount to a constitutional violation. Estelle, 429 U.S. at
25 106; McGuckin, 974 F.2d at 1059. Further, a mere difference of opinion over proper medical treatment
26 does not constitute deliberate indifference. Jackson v. McIntosh, 90 F.3d 330, 332 (9th Cir. 1996).
27 Deliberate indifference is “a state of mind more blameworthy than negligence” and “requires ‘more than
28 ordinary lack of due care for the prisoner's interests or safety.’” Farmer v. Brennan, 511 U.S. 825, 835
(1994) (quoting Whitley v. Albers, 475 312, 319 (1986)).

1 A mere delay in treatment does not constitute a violation of the Eighth Amendment unless the
 2 delay was harmful. See McGuckin, 974 F.2d at 1059; Shapley v. Nevada Bd. of State Prison Comm'rs,
 3 766 F.2d 404, 407 (9th Cir. 1985); Hunt, 865 F.2d at 200 (“[D]elay in providing a prisoner with dental
 4 treatment, standing alone, does not constitute an Eighth Amendment violation.”); Broughton v. Cutter
 5 Labs., 622 F.2d 458, 460 (9th Cir. 1980) (delay of six days in treating hepatitis may constitute deliberate
 6 indifference). A defendant must purposeful ignore or fail to respond to an inmate’s pain or possible
 7 medical need in order to establish deliberate indifference. McGuckin, 974 F.2d at 1060.

8 A medical need is serious “‘if the failure to treat the prisoner’s condition could result in further
 9 significant injury or the unnecessary and wanton infliction of pain.’” McGuckin, 974 F.2d at 1059
 10 (quoting Estelle, 429 U.S. at 104.) Indications of a serious medical need include “the presence of a
 11 medical condition that significantly affects an individual’s daily activities.” Id. at 1059-60.

12 i. Defendants Houston and Valenzuela

13 Plaintiff brings a claim against Defendants Houston and Valenzuela for deliberate indifference to
 14 serious medical needs in violation of the Eighth Amendment for delaying his medical treatment by
 15 failing to intervene on behalf of Plaintiff to receive prompt medical treatment by a doctor for his serious
 16 medical condition. (First Am. Compl. at ¶ 80 of Factual Allegations.)

17 On November 18, 2004, Plaintiff claims that he yelled out of his cell door to Defendant
 18 Associate Warden Houston and complained that he was having severe throat pains that may be
 19 cancerous and that his medical request slips were not being answered by A-yard doctors. (Id. ¶ 13.)
 20 Houston responded with “I’ll see what I can do when I walk by the medical clinic.” (Id.) After her
 21 comments, he was not seen by a doctor for another 30 days. (Id. at ¶ 14.) He argues that Houston knew
 22 about the alleged constitutional violation and failed to act to prevent it. (Id. ¶ 15.)

23 To state a claim against a state official under section 1983, the complainant must allege direct
 24 personal participation by the defendant. Taylor v. List, 880 F.2d 1040, 1045 (9th Cir. 1989). “A
 25 supervisor is only liable for the constitutional violations of his subordinates if the supervisor participated
 26 in or directed the violations, or knew of the violations and failed to act to prevent them.” Id. If there is
 27 no affirmative link between a defendant’s conduct and the alleged injury, there is no deprivation of the
 28 plaintiff’s constitutional rights. Rizzo v. Goode, 423 U.S. 362, 370 (1976).

Plaintiff has sufficiently alleged personal participation by Defendant Houston. He claims that she knew of the violation because he personally told her about it and that she failed to act because he endured another month of severe pain. (First Am. Compl. ¶¶ 13, 14 of Factual Allegations.) Plaintiff has also stated a claim against Defendant Houston. First, Plaintiff has sufficiently alleged that he has a serious medical condition. He claims he had a severe pain in his throat for over two months which forced him to go on a semi-liquid diet. (Id. 6 ¶.) He also had a burning pain caused by a rash on his right ankle and pain on his left testicle. (Id. ¶ 1.) When he was examined, he was diagnosed with laryngitis, allergies and a marble size assis (sic) on his left testicle and was prescribed medication. (Id. ¶¶ 99, 100.) It appears the combination of his condition, if untreated, could result in further significant injury or the unnecessary infliction of pain and constitutes a serious medical condition. See McGuckin, 974 F.2d at 1059. Plaintiff also alleges that Defendant purposely failed to act to obtain care for his serious medical needs. See id. at 1060. He claims that she delayed his medical treatment by failing to intervene on behalf of Plaintiff to receive prompt medical treatment. Defendant Houston stated that she would see what she could do when she walked by the medical clinic but he was not treated for another thirty days. Therefore, the Court concludes that Plaintiff has sufficiently alleged a claim of deliberate indifference to serious medical needs as to Defendant Houston.

As to Defendant Valenzuela, Plaintiff claims he called Defendant Stokes over to discuss his medical needs. As Stokes approached, Defendant Valenzuela accompanied her. (First Am. Compl. ¶ 17 of Factual Allegations.) Valenzuela stated “when we pass the medical clinic, we’ll talk to the nurse for you.” (Id.) Plaintiff alleges Defendant Valenzuela knew about his serious medical condition and failed to take Plaintiff to the medical clinic which caused Plaintiff to endure another month in severe pain. (Id. ¶ 18.) The Court concludes that Plaintiff has sufficiently alleged a claim of deliberate indifference to serious medical needs as to Defendant Valenzuela. The Court RECOMMENDS that the motion to dismiss as to Defendants Houston and Valenzuela be DENIED.

ii. Drs. Khatri and Esperanza

Plaintiff asserts that Drs. Khatri and Esperanza delayed Plaintiff’s medical treatment by failing to respond to ad-seg medical request slips which caused a two month delay. Although Plaintiff has sufficiently alleged a serious medical need, he has failed to allege specific facts indicating that

1 Defendants Khatri and Esperanza acted with the requisite subjective intent to disregard a serious need
 2 for medical care. Accordingly, the Court concludes that Plaintiff has failed to allege sufficient facts to
 3 assert a claim of deliberate indifference to serious medical needs as to Defendants Drs. Khatri and
 4 Esperanza.

5 Plaintiff also claims that Defendants exposed him to hair, dust and dirt when he was treated in a
 6 holding cell instead of a medical examination room and exposure to hair, dust and dirt disregarded his
 7 serious medical need. Plaintiff has failed to show that the Defendants denied, delayed or intentionally
 8 interfered with his medical treatment. At most, Plaintiff has alleged lack of due care for Plaintiff's
 9 interests. See Farmer, 511 U.S. at 835. Lack of due care is insufficient to state a claim of deliberate
 10 indifference to serious medical needs. See id. Accordingly, the Court RECOMMENDS that the motion
 11 to dismiss as to Defendants Khatri and Esperanza be GRANTED.

12 **b. Eighth Amendment Claim for Conditions of Confinement**

13 Plaintiff argues that Defendants Houston and Valenzuela denied him humane conditions of
 14 confinement in violation of his Eighth Amendment right against cruel and unusual punishment when
 15 they refused to replace Plaintiff's mold infested mattress or relocate him to another cell.

16 The Eighth Amendment's prohibition against cruel and unusual punishment imposes upon prison
 17 officials the duty to provide prisoners "humane conditions of confinement." Farmer, 511 U.S. at 832.
 18 Prison conditions do not violate the Eighth Amendment unless they amount to "unquestioned and
 19 serious deprivations of basic human needs" or of the "minimal civilized measure of life's necessities."
 20 Rhodes v. Chapman, 452 U.S. 337, 347 (1981); Wilson v. Seiter, 501 U.S. 294, 308 (1991).

21 To assert an Eighth Amendment claim for deprivation of humane conditions of confinement, a
 22 prisoner must allege facts that satisfy a test involving both an objective and subjective component.
 23 Farmer, 511 U.S. at 834; Allen v. Sakai, 48 F.3d 1082, 1087 (9th Cir. 1994). Under the objective
 24 requirement, the prisoner must allege facts sufficient to show that the prison official's acts or omissions
 25 deprived him of the "minimal civilized measure of life's necessities." Rhodes, 452 U.S. at 347; Farmer,
 26 511 U.S. at 834. This objective component is satisfied so long as the institution "furnishes sentenced
 27 prisoners with adequate food, clothing, shelter, sanitation, medical care, and personal safety." Hoptowit
 28 v. Ray, 682 F.2d 1237, 1246 (9th Cir. 1982); see also Farmer, 511 U.S. at 832; Wright v. Rushen, 642

1 F.2d 1129, 1132-33 (9th Cir. 1981). Under the subjective requirement, the prisoner must allege facts
 2 that show that the defendant acted with “deliberate indifference.” Wilson, 501 U.S. at 303; see also
 3 Allen, 48 F.3d at 1087. A defendant cannot be held liable under an Eighth Amendment claim of
 4 inhumane conditions of confinement unless the standard for criminal recklessness is met, i.e., the
 5 official knows of and disregards an excessive risk to inmate health or safety. Farmer, 511 U.S. at 837.
 6 “Deliberate indifference” exists when a prison official “knows of and disregards an excessive risk to
 7 inmate health and safety; the official must be both aware of facts from which the inference could be
 8 drawn that a substantial risk of serious harm exists, and he must also draw the inference.” Id.; see also
 9 Wilson, 501 U.S. at 302-03.

10 Inadequate ventilation undermining the health of inmates and exposure to unhealthy airborne
 11 microorganisms can violate the Eighth Amendment in some circumstances. See Keenan v. Hall, 83 F.3d
 12 1083, 1090 (9th Cir. 1996) (“air . . . saturated with the fumes of feces, urine, and vomit” could violate
 13 the Eighth Amendment); Hoptowit v. Spellman, 753 F.2d 779, 784 (9th Cir. 1985) (inadequate lighting,
 14 vermin infestation, substandard fire prevention and safety hazard violated minimum requirements of the
 15 Eighth Amendment); Ramos v. Lamm, 639 F.2d 559, 569 (10th Cir. 1980) (stating that inadequate
 16 ventilation resulting in excessive odors, heat and humidity that creates stagnant air as well as excessive
 17 mold and fungus growth which facilitates personal discomfort along with health and sanitation
 18 problems); Townsend v. Fuchs, 522 F.3d 765, 774 (7th Cir. 2008); (sleeping on moldy and wet mattress
 19 could be sufficiently serious to violate the Eighth Amendment).

20 Plaintiff has sufficiently alleged supervisory liability as to Defendant Houston when she failed to
 21 give him a new mattress or a move to another cell when Plaintiff discovered his mattress was infested
 22 with mold. On January 19, 2005, Plaintiff told Defendant Houston about the mold on his mattress and
 23 that officers were denying him a new mattress. (First Am. Compl. ¶ 40 of Factual Allegations.) He
 24 claims that Defendant Houston ordered Officer Martinez to give him a new mattress “today and not
 25 tomorrow.” (Id.) Despite her orders, the officers refused to comply with Defendant Houston’s order.
 26 (Id.) Later, Defendant Houston ordered Sergeant Stiens to call T. Rubin to get a new mattress for
 27 Plaintiff. (Id. ¶ 42.) Plaintiff has alleged that Defendant Houston was aware of Plaintiff’s condition
 28 and was personally involved in trying to get him a new mattress.

1 On November 23, 2004, Plaintiff discovered mold on the bottom of his mattress. (Id. ¶ 28.) He
 2 did not receive a new mattress until February 1, 2005 and claims he suffered for 71 days living with the
 3 mold on his mattress. (Id. ¶¶ 43, 114.) He claims he was unable to leave his cell, ate his food with mold
 4 spores in the air and suffered with the smell of mold in the air. (Id. ¶¶ 44, 117.) He asserts that he
 5 suffered allergies from the mold. (Id. ¶ 119.)

6 Plaintiff has made adequate allegations of the objective component of deprivation of humane
 7 conditions of confinement showing that the condition deprived him of the “minimal civilized measure of
 8 life’s necessities.” See Rhodes, 452 U.S. at 347; see also Townsend, 522 F.3d at 774. However,
 9 Plaintiff has not sufficiently alleged that Defendant Houston acted with deliberate indifference. In the
 10 First Amended Complaint, Plaintiff claims that Houston attempted to address Plaintiff’s immediate
 11 needs by ordering her staff to replace his mattress on two occasions. His allegations demonstrate that
 12 Defendant Houston was not acting with criminal recklessness or ignoring an excessive risk to Plaintiff’s
 13 health or safety. See Farmer, 511 U.S. at 837. Based on the facts in the First Amended Complaint,
 14 Plaintiff has failed to sufficiently allege a claim for inhumane conditions of confinement under the
 15 Eighth Amendment against Defendant Houston. Accordingly, the Court RECOMMENDS that the
 16 motion to dismiss be GRANTED as to Defendant Houston.

17 As to Defendant Valenzuela, Plaintiff has failed to allege any facts regarding the mold on the
 18 mattress issue against him. The only reference to Defendant Valenzuela and the mattress is at the end of
 19 the First Amended Complaint. In the “Claim for Relief”, Plaintiff summarily states that “defendants
 20 J.C. Martinez R.M. Houston; J. Stokes, S. Valenzuela and Pike Stiens was all told by the Plaintiff of the
 21 molded mattress” (First Am. Compl. ¶ 109 of Factual Allegations.) Such conclusory allegations
 22 are not sufficient to withstand a motion to dismiss. See Ivey, 673 F.2d at 268. Accordingly, the Court
 23 RECOMMENDS that the motion to dismiss be GRANTED as to Defendant Valenzuela.

24 **C. Qualified Immunity**

25 Alternatively, Defendants argue that they are immune from suit based on qualified immunity.
 26 “Qualified immunity shields an officer from suit when she makes a decision that, even if constitutionally
 27 deficient, reasonably misapprehends the law governing the circumstances she confronted.” Brosseau v.
 28 Haugen, 125 S. Ct 596, 599 (2004). Qualified immunity entitles government officials to “an immunity

1 from suit rather than a mere defense to liability; and like an absolute immunity, it is effectively lost if a
2 case is erroneously permitted to go to trial.” Mitchell v. Forsyth, 472 U.S. 511, 526 (1985).

3 Under Saucier v. Katz, the threshold question is: “[t]aken in the light most favorable to the party
4 asserting the injury, do the facts alleged show the officer’s conduct violated a constitutional right?”
5 Saucier v. Katz, 533 U.S. 194, 201 (2001). If a violation of a constitutional right is established, the next
6 question is “whether the right was clearly established.” Saucier, 533 U.S. at 201. That is “whether it
7 would be clear to a reasonable officer that his conduct was lawful in the situation he confronted.” Id. at
8 202.

9 Typically, a qualified immunity defense is generally not amenable to a rule 12(b)(6) motion. See
10 Morley v. Walker, 175 F.3d 756, 761 (9th Cir. 1999). The Court is usually “not equipped at this stage
11 to determine whether qualified immunity will ultimately protect [the defendant]. Those issues must be
12 resolved at summary judgment or at trial.” Id.; see also Groten v. California, 251 F.3d 844, 851 (9th
13 Cir. 2001) (“Rule 12(b)(6) dismissal is not appropriate unless [the court] can determine, based on the
14 complaint itself, that qualified immunity applies.”). Here, Defendants argue that they are entitled to
15 qualified immunity. Plaintiff argues the contrary.

16 Here, Plaintiff alleges a violation of the Eighth Amendment claim against deliberate indifference
17 to serious medical need against Defendants Houston and Valenzuela. Based on the facts of the First
18 Amended Complaint, the Court cannot determine whether the Defendants violated a constitutional right
19 and whether the right was clearly established. Therefore, the Court cannot make a determination
20 whether Defendants are immune based on qualified immunity. Accordingly, at this time, the Court
21 RECOMMENDS that Defendants’ motion to dismiss based on qualified immunity be DENIED.

22 Conclusion


23 Based on the above, the Court **RECOMMENDS** that Defendants’ motion to dismiss be
24 **GRANTED in part and DENIED in part**. The Court RECOMMENDS that Defendants’ motion to
25 dismiss the Eighth Amendment claims of deliberate indifference to serious medical needs as to
26 Defendants Khatri and Esperanza be GRANTED with leave to amend. The Court RECOMMENDS that
27 Defendants’ motion to dismiss the Eighth Amendment claim of deliberate indifference to serious
28 medical needs as to Defendants Houston and Valenzuela be DENIED and Defendants’ motion to

1 dismiss the Eighth Amendment claim of conditions of confinement as to Defendants Houston and
2 Valenzuela be GRANTED with leave to amend.

3 This report and recommendation will be submitted to the United States District Judge assigned to
4 this case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Any party may file written objections with
5 the court and serve a copy on all parties by **November 21, 2008**. The document should be captioned
6 "Objections to Report and Recommendation." Any reply to the objections shall be served and filed by
7 **December 5, 2008**. The parties are advised that failure to file objections within the specified time may
8 waive the right to raise those objections on appeal of the Court's order. Martinez v. Ylst, 951 F.2d 1153
9 (9th Cir. 1991).

10 IT IS SO ORDERED.

11 DATED: October 17, 2008

12 
13 Hon. Anthony J. Battaglia
14 U.S. Magistrate Judge
15 United States District Court
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